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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masaya OTOKAWA

Appln. No. not yet assigned

Attorney Docket Q68514

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: February 20, 2002

Examiner: Not yet assigned

For:

SERIAL COMMUNICATION DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of the listed document is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language document, Applicant submits the following explanations: the attached foreign language publication is cited and described within the specification on page 2.

INFORMATION DISCLOSURE STATEMENT Attorney Docket Q68514

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Robert V. Sloan

Registration No. 22,775

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Facsimile: (202) 293-7860

Date: February 20, 2002

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| Substitute for form 144 | 9 A & B/PIO | | Application Number Not yet assigned O | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Confirmation Number | Not yet assigned February 20, 2002 | 46 | |
| | | | Filing Date | | 75 | |
| | | | First Named Inventor | Masaya OTOKAWA | | |
| | | | Art Unit | Not yet assigned | 0 10 10 10 10 10 10 10 10 10 10 10 10 1 | |
| | | | Examiner Name | Not yet assinged | 10 | |
| Sheet | 1 | of 1 | Attorney Docket Number | O68514 | ΰ | |

| U.S. PATENT DOCUMENTS | | | | | | |
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| | | Document N | umber | | | |
| Examiner Initials* | Cite No. ¹ | Number | Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Cite Initials* No.1 | Foreign Patent Document | | | Publication Date | Name of Patentee or | | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Cite Initials* No.1 | | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | | | |
| | | "Fujitsu Semiconductor Device Data Sheet; DS03-82401-2", page 1607 | | | |
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| Examiner Signature | Date Consider | red |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.